

## TELEFAX COVER SHEET

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TO: Commissioner of Patents

FAX NO.: 703-872-9314

FROM: EAMON J. WALL

DATE: 10/3/02

MATTER: Serial No. 09/359,559 Filed: 7/22/99

DOCKET NO.: DIVA/070CIP1

APPLICANT: Gordon et al.

The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile:

☐ Petition  
☐ Disclosure Statement & PTO-1449  
☐ Priority Document  
☐ Drawings (1 sheet) - change encircled  
☒ Response Under 37 CFR 1.111

☒ Transmittal letter (2 copies)  
☐ Fee Transmittal (2 copies)  
☐ Deposit Account Transaction  
☒ Facsimile Transmission Certificate  
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PTO/SB/21 (08-00)

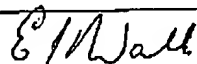
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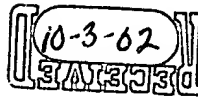
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/359,559
	Filing Date	7/22/99
	First Named Inventor	Gordon
	Group Art Unit	2611
	Examiner Name	Hunyh, Son P.
Total Number of Pages in This Submission	Attorney Docket Number	DIVA/070CIP1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD. Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		The Commissioner is authorized to charge any underpayment or credit any overpayment of fees (including but not limited to any extension fees pursuant to 1.136(a)), to Deposit Account 20-0782. A duplicate copy of this transmittal is attached. Applicants do not believe that any additional fee is due.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eamon J. Wall, Reg. No. 39,414
Signature	
Date	10/3/02

10-4-02  
J-

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant(s): **Gordon et al.**  
Case: **DIVA/070CIP1**  
Serial No.: **09/359,559** Filed: **07/22/99**  
Group Art Unit: **2611**  
Examiner: **Huynh, Son P.**  
Title: **SYSTEM FOR GENERATING, DISTRIBUTING AND RECEIVING  
AN INTERACTIVE USER INTERFACE**

AMENDMENT UNDER 37 C.F.R. 1.111

COMMISSIONER OF PATENTS  
Washington, D. C. 20231

S I R:

This is in response to the Office Action mailed on July 3, 2002.

IN THE SPECIFICATION

Please replace the paragraph on page 6, line 8 through page 6, line 19 with the following amended paragraph:

Q1 The head-end 102, which carries the most user interface-related processing power and storage capability, comprises a user interface graphics generation/storage unit 108, video source 110, compositor 112, encoding unit 114, multiplexer 116, video modulator 118, a video session manager (VSM) 120 or multiple VSM' s depending on the viewer/subscriber load, and a video server 122. The IPG generation and encoding apparatus is disclosed in further detail within commonly assigned US patent application Serial No. 09/359,561, filed on July 22, 1999 and incorporated herein by reference.

IN THE CLAIMS

Please replace claims 1-2, 5, 8-9, 11-12, 16-18 and 20- 25 with the following amended claims 1-2, 5, 8-9, 11-12, 16-18 and 20- 25, cancel claims 10, 14-15, 19 and 26-28 and add new claims 29-31.